VIA FIRST C S MAIL

I horeby certify that this espondence is being deposited with the United States Postal Services as first class mail in an envelope addressed to: Commissioner (Patents and Trademarks, Washington, D.C. 20231, on November 15, 2000

FULBRIGHT & JAWORSKI L.L.P.

Ry Operation Commissioner (Patents and Trademarks, Washington, D.C. 20231, on November 15, 2000)

LUD 5466.4 - JEL/NDH (09807811)

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NOV 2 4 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICE OF PETITIONS

Applicant(s)

Knuth, et al.

Serial No.

09/165,546

Filed

October 2, 1998

For

ISOLATED PEPTIDES CORRESPONDING TO

AMINO ACID SEQUENCES OF NY-ESO-1...

Group Art Unit

1633

Examiner

Wilson, M.

November 15, 2000

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

> PETITION TO CORRECT INVENTORSHIP (37 CFR § 1.48)

SIR:

Applicants hereby petition to correct inventorship in this application. In support, attached are the following:

- (1) a statement by Jan W. Drifthout, who is the sole individual to be added as inventor, stating that any errors in inventorship occurred without deceptive intention on his part;
- (2) declaration/power of attorney forms signed by all inventors. Note that in view of geographic separation, four forms were required;

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LUD 5466.4 - JEL/NDH (09807811)

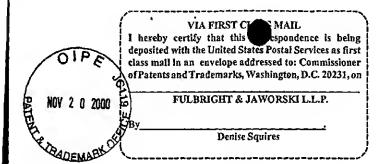
- E TRADEMAE a check in the amount of \$130.00, as required by 37 CFR § 1.17(i). If the check becomes dislodged, or the amount is in error, authorization is given to make adjustment to Deposit Account 500624;
 - copies of assignment documents, together with the written consent of each assignee. (4) It is believed that all requirement of 37 CRF §1.48 are met. Favorable action on the petition is requested.

Respectfully submitted,

FULBRIGHT & JAWORSKI L.L.P.

Reg. No. 30,946

666 Fifth Avenue New York, New York 10103 (212) 318-3000



LUD 5466.4 - JEL/NDH (09807811)
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OFFICE OF PETITIONS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Knuth, et al.

Serial No.

09/165,546

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ISOLATED PEPTIDES CORRESPONDING TO

AMINO ACID SEQUENCES OF NY-ESO-1...

Group Art Unit

1633

Examiner

Wilson, M.

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

STATEMENT OF JAN W. DRIJFHOUT (37 CFR § 1.48(a)(1))

SIR:

Jan W. Drijfhout hereby declares that his exclusion as a coinventor on the above referenced application occurred without deceptive intention on his part.

Respectfully submitted,

Date: 16-10-7000

n W. Drifhout

645746.1





DECLARATION FOR PATENT APPLICATION

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NOV 2 4 2000

As a below named inventor, I hereby declare that:

OFFICE OF PETITIONS

My resident, post office address and citizenship are as stated below next to my name.

| name is listed below) or an original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ISOLATED PEPTIDES CORRESPONDING TO AMINO ACID SEQUENCE OF NY-ESO-1, WHEREIN BIND TO MHC CLASS I AND MHC CLASS II MOLECULES, AND USES THEREOF, the specification of which | | | |
|---|--|--|--|
| () is attached hereto. (X) was filed on as Application Serial No. | | | |
| and was amended on (1), (2) (if applicable). | | | |
| I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. | | | |
| I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a). | | | |
| Foreign Priority Applications I hereby claim foreign priority benefits under Title 35, United States Code 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed: | | | |
| Priority Claimed | | | |
| (Number) (Country) (Day/Month/Year Filed) Yes () No () | | | |
| (Number) (Country) (Day/Month/Year Filed) Yes () No () | | | |

U.S. Priority Applications

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| 09/062,422 | 4-12-98 | <u>Pending</u> |
|--------------------------------|------------------------|--|
| (Appl. Ser. No.) | Filing Date | Status-patented/pending/abandoned |
| 08/937,263 (Appl. Ser. No.) | 9-15-97 Filing Date | Pending Status-patented/pending/abandoned |
| 08/725,182 (Appl. Ser. No.) | 10-3-96 Filing Date | Patented Status-patented/pending/abandoned |

Power of Attorney

I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: John E. Lynch, Reg. No. 20,940; Peter F. Felfe, Reg. No. 20,297; Norman D. Hanson, Reg. No. 30,946; John A. Bauer, Reg. No. 32,554; Mary Anne Schofield, Reg. No. 36,669; James Zubok, Reg. No. 38,671; and James R. Crawford, Reg. No. 39,155 and David A. Rubin Reg. No. 40,314, Attorneys with full power of substitution and revocation. Address all telephone calls to Norman D. Hanson, at (212) 318-3000. Address all correspondence to:

FULBRIGHT & JAWORSKI L.L.P. 666 Fifth Avenu New York, New York 10103

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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OFFICE OF PETITIONS (1) Alexander Knuth Full Name/First Inventor Signature Date

Residence: Steinbacher Hohl 2-28

60488 Frankfurt am Main, Germany

German Citizenship

Post Office Address: Steinbacher Hohl 2-28

60488 Frankfurt am Main. Germany

(2) Elke Jäger

Full Name/Second Inventor

Signature

Date

Residence: Steinbacher Hohl 2-28

60488 Frankfurt am Main, Germany

German Citizenship

Post Office Address: Steinbacher Hohl 2-28

60488 Frankfurt am Main, Germany

(3) Yao-tseng Chen Full Name/Third Inventor Signature

Residence: 525 East 68th Street

New York, New York 10021

<u>Taiwan</u>

Citizenship

Post Office Address: _ 525 East 68th Street

New York, New York

3



LUD 5466.4-JEL/NDH

| (4) Matthew Scanlan | Dr. A J fed | 9/25/00 Date |
|---|--|--|
| Full Name/Fourth Inventor | Signature | Date / |
| Residence: 1275 York Avenue New York, New York | 10021 | U.S.A. Citizenship |
| Post Office Address: Memoria 1275 You | 1 Sloan-Kettering C rk Avenue, New York | Cancer Center , New York 10021 |
| (5) Ali Gure | NV | 9/17/1000 |
| Full Name/Fifth Inventor | Signature | Date |
| Residence: 1275 York Avenue New York, New York | V 10021 | TURKEY AL <u>Australia</u> Citizenship |
| Post Office Address: Memoria 1275 Yo | al Sloan-Kettering ork Avenue, New York | Cancer Center |
| Get P | , | 10/5/00 |
| (6) Gerd Ritter Full Name/Sixth Inventor | Signature | Date |
| Residence: 1275 York Avenue New York, New York | k 10021 | <u>German</u> Citizenship |
| Post Office Address: Memoria 1275 Yo | al Sloan-Kettering ork Avenue, New Yor | Cancer Center k, New York 10021 |
| (7) Lloyd J. Old Full Name/Seventh Inventor | Signature | 10/18/00 Date |
| Residence: 1345 Avenue of th New York, New Yor | ne Americas ck 10105 | U.S.A. |

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LUD 5466.4-JEL/NDH

| Post Office Address: 1345 Avenue | a of the Americ | Citizenship as |
|----------------------------------|-----------------|---|
| New York, I | New York 10105 | |
| (8) Jan W. Drijfhout | Mille | 16-10-2000 |
| Full Name/Seventh Inventor | Signature | Date |
| Residence: Department of Impur | nohematology a | nd Blood Bank, |
| <u>University Hospital</u> | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
| 2300 Leiden, The Nethe | rlands | Dutch |
| Post Office Address: Department | of Immunohema | Citizonabia |
| Bank, University Hospital | | Hers. Ditory |
| 2300 Leiden, The Nethe | rlands | <u></u> |



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LUD 5466.4-JEL/NDH RECEIVED

DECLARATION FOR PATENT APPLICATION

NOV 2 4 2000

As a below named inventor, I hereby declare that:

OFFICE OF PETITIONS

My resident, post office address and citizenship are as stated below next to my name.

| I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled ISOLATED PEPTIDES CORRESPONDING TO AMINO ACID SEQUENCE OF NY-ESO-1, WHEREIN BIND TO MHC CLASS I AND MHC CLASS II MOLECULES, AND USES THEREOF, the specification of which | | | | |
|--|--|--|--|--|
| () is attached hereto. (X) was filed on as Application Serial No. and was amended on (1), (2) (if applicable). | | | | |
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| Priority Claimed | | | | |
| (Number) (Country) (Day/Month/Year Filed) Yes () No () | | | | |
| (Number) (Country) (Day/Month/Year Filed) Yes () No () | | | | |

U.S. Priority Applications

I hereby claim the benefit under Title 35, United States Code, \$120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, \$112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, \$1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

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| 08/937,263 (Appl. Ser. No.) | 9-15-97 Filing Date | Pending Status-patented/pending/abandoned |
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Power of Attorney

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FULBRIGHT & JAWORSKI L.L.P. 666 Fifth Avenu New York, New York 10103

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | l | Grand | |
|-----------------------------|---------------------------------|----------------------------|---------------------------------|------------------------------|
| (1) Alexand Full Name/Fi | <u>er Knuth</u> rst Invent | or | My M Signature | 19.2000 Date |
| Residence: _ | <u>Steinbache</u> 60488 Fran | r Hohl 2-2 kfurt am M | 8 ain, Germany | <u>German</u> Citizenship |
| Post Office | Address: _ | Steinbach 60488 Fra | er Hohl 2-28 inkfurt am Mai | n, Germany |
| (2) Elke Jä Full Name/Se | iger Ed | u M | Signature | Rpt 19. 2000 Date |
| Residence: _ | Steinbache 60488 Fran | er Hohl 2-2 nkfurt am N | 28 Main, Germany | <u>German</u> Citizenship |
| Post Office | Address: _ | Steinbach 60488 Fra | ner Hohl 2-28 ankfurt am Mai | n, Germany |
| (3) Yao-ts Full Name/T | <u>eng Chen</u> hird Inven | tor | Signature | Date |
| Residence: | 525 East New York, | 68th Stree New York | t 10021 | <u>Taiwan</u> Citizenship |
| Post Office | Address: | | 68th Street . New York 10 | 0021 |

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| (4) Matthew Scanlan | | OFFICE OF PETITION |
|--|---|---------------------------------|
| Full Name/Fourth Inventor | Signature | Date |
| Residence: 1275 York Avenue New York, New York | 10021 | <u>U.S.A.</u> Citizenship |
| Post Office Address: <u>Memoria</u> 1275 Yo | l Sloan-Kettering rk Avenue, New Yor | |
| (5) Ali Gure | | |
| Full Name/Fifth Inventor | Signature | Date ~ |
| Residence: 1275 York Avenue New York, New York | : 10021 | <u>Australia</u> Citizenship |
| Post Office Address: <u>Memoria</u> 1275 Yo | l Sloan-Kettering rk Avenue, New Yor | |
| (6) Gerd Ritter | | |
| Full Name/Sixth Inventor | Signature | Date |
| Residence: 1275 York Avenue New York, New York | : 10021 | <u>German</u> Citizenship |
| Post Office Address: <u>Memoria</u> 1275 Yo | l Sloan-Kettering rk Avenue, New Yor | |
| (7) Lloyd J. Old Full Name/Seventh Inventor | Signature | Date |
| Residence: 1345 Avenue of the New York, New York | | U.S.A |

LUD 5466.4-JEL/NDH

Post Office Address: 1345 Avenue of the Americas
New York, New York 10105

(8) Jan W. Drijfhout
Full Name/Seventh Inventor Signature Date
Residence: Department of Immunohematology and Blood Bank,
University Hospital
2300 Leiden, The Netherlands Dutch
Citizenship
Post Office Address: Department of Immunohematology and Blood
Bank, University Hospital
2300 Leiden, The Netherlands

OFFICE OF PETITIONS D

LUD 5466.4 CIP - JEL/NDH

ASSIGNMENT

TECH CENTER 1600 (297)

WHEREAS the undersigned [hereinafter (collectively) "ASSIGNOR"] have invented certain new and useful improvements in

Isolated Peptides Corresponding to Amino Acid Sequence of NY-ESO-1, Wherein Bind to MHC Class I and MHC Class II Molecules, and Uses Thereof

for which an application for United States Letters Patent was executed concurrently herewith,

WHEREAS

Ludwig Institute for Cancer Research 1345 Avenue of the Americas

New York, New York 10105

a Swiss, not-for-profit corporation (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and other good and valuable consideration, the said ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, the full and exclusive right to the said invention anywhere in the world and the entire right, title and interest in and to any U.S. patent application and any U.S. patent issuing thereon covering such invention and all corresponding foreign patent applications and patents issuing thereon anywhere in the world.

Said ASSIGNOR hereby authorizes and requests that U.S. Letters Patent issue to said ASSIGNEE, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by said ASSIGNOR had this assignment and sale not been made.

LUD 5466.4 CIP - JEL/NOH

Witness, the hand and seal of the ASSIGNOR on the date(s) indicated.

| Date(s) | Signature(s) |
|---------------|----------------|
| Oct. 20, 1998 | Stexander hunt |
| Oct 20, 1998 | Elle JAGER |
| | Yao CHEN |
| | Matt SCANLAN |
| | Ali GURE |
| | Lloyd J. OLD |
| | Gert RITTER |

FULBRIGHT & JAWORSKI L.L.P. 805 Third Avenue New York, New York 10022 (212) 688-9200

Docket No. LUD 5466.4 (09807811)

ASSIGNMENT:

Whereas the undersigned [hereinafter (collectively) "ASSIGNOR" have invented certain new and useful improvements in

EELS 103-15-1 1605/3400

ISOLATED PEPTIDES CORRESPONDING TO AMINO ACID SEQUENCES OF NY-ESO-1, WHICH BIND TO MHC CLASS I AND MHC CLASS II MOLECULES, AND USES THEREOF

for which on application for United States Letters Patent was filed on October 2, 1998 and assigned Serial No. 09/165,546.

Whereas

Ludwig Institute for Cancer Research

605 Third Avenue

New York, New York 10158

a Swiss not-for-profit corporation (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1,00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

Said ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE, as the assignee of the entire right, title, and interest in and to the same, for the sale use and for the use of its successors, legal representatives, and assigne, to the full end of the term for which said Letter Patent may be granted, as fully and entirely as the same would have been held by said ASSIGNOR had this assignment and sale not been made.

Witness, the hand and seal of the ASSIGNOR on the date(s) indicated.

Date(s)

Signature(6)

2 14 2000. Lloyd !. O

Docket No. <u>LUD 5466.4 (09807811)</u>

ASSIGNMENT

Whereas the undersigned [hereinafter (collectively) "ASSIGNOR"] have invented certain new and useful improvements in

ISOLATED PEPTIDES CORRESPONDING TO AMINO ACID SEQUENCES OF NY-ESO-1, WHICH BIND TO MHC CLASS I AND MHC CLASS II MOLECULES, AND USES THEREOF

for which an application for United States Letters Patent was filed on October 2, 1998, and assigned Serial No. 09/165,546.

Whereas

Cornell Research Foundation

20 Thornwood Drive Ithaca, New York 11850

a New York, not-for-profit corporation (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

Said ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, to the full end of the term for which said Letter Patent may be granted, as fully and entirely as the same would have been held by said ASSIGNOR had this assignment and sale not been made.

Witness, the hand and seal of the ASSIGNOR on the date(s) indicated:

Date(s)

Signature(s)

Aug 14/2000

Yao-Tseng Chen

Docket No. LUD 5.4 (09807811

ASSIGNMENT

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Whereas the undersigned [hereinafter (collectively) "ASSIGNOR" have invented certain new and useful improvements in

THE PARTY OF

TECH SENTER 1600/2900

ISOLATED PEPTIDES CORRESPONDING TO AMINO ACID SEQUENCES OF NY-ESO-1, WHICH BIND TO MHC CLASS I AND MHC CLASS II MOLECULES, AND USES THEREOF

for which an application for United States Letters Patent was filed on October 2, 1998 and assigned Scrial No. 09/165,546.

Whereas

Ludwig Institute for Cancer Research

605 Third Avenue

New York, New York 10158

a Swiss not-fur-profit corporation (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

Said ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, to the full end of the term for which said Letter Patent may be granted, as fully and entirely as the same would have been held by said ASSIGNOR had this assignment and sale not been made.

Witness, the hand and seal of the ASSIGNOR on the date(s) indicated.

| Signature(s) | Sign

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** TOTAL PAGE. 04 **

Docket No. LUD 5466.4 (09807811)

ASSIGNMENT

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OFFICE OF PETITIONS

Whereas the undersigned [hereinafter (collectively) "ASSIGNOR"] have invented certain new and useful improvements in

ISOLATED PEPTIDES CORRESPONDING TO AMINO ACID SEQUENCES OF MY-ESO-1, WHICH BIND TO MHC CLASS I AND MHC CLASS II MOLECULES. AND USES THEREOF

for which an application for United States Letters Patent was filed on October 2, 1998, and assigned Serial No. 09/165,546,

Whereas

Memorial Sloan-Kettering Cancer Center

1275 York Avenue

New York, New York 10021

a New York, not-for-profit corporation (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, the full and exclusive right to the said invention in the United States and the entire right, title, and interest in and to any and all Letter Patent which may be granted therefor in the United States.

Said ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, tot he full end of the term for which said Letter Patent may be granted, as fully and entirely as the same would have been held by said ASSIGNOR had this assignment and sale not been made.

Witness, the hand and seal of the ASSIGNOR on the date(s) indicated:

Daic(s)

Signature

Ali Gne

675883

101.23 101

ASSIGNMENT

Whereas the undersigned [hereinafter (collectively) "ASSIGNOR" have inverse 1600/2900 certain new and useful improvements in

ISOLATED PEPTIDES CORRESPONDING TO AMINO ACID SEQUENCES OF NY-ESO-1, WHEREIN SAID PEPTIDES BIND TO MHC CLASS I AND MHC CLASS II MOLECULES, AND USES THEREOF

(the "INVENTION") for which an application for United States Letters Patent was filed on October 2, 1998, and assigned Serial No. 09/165,546.

WHEREAS

Academisch Ziekenhuis Leiden

Albinusdreef 2 2300 RC Leiden The Netherlands RECEIVED

NOV 2 4 2000

OFFICE OF PETITIONS

a Netherlands corporation (hereinafter "ASSIGNEE") is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is hereby acknowledged, and the other good and valuable consideration, the said ASSIGNOR hereby sells, assigns and transfers unto said ASSIGNEE, the full and exclusive right to the said INVENTION anywhere in the world, the entire right, title, and interest in and to any U.S. patent application and any U.S. patent issuing thereon covering the INVENTION, and all corresponding foreign patent applications and patents issuing thereon anywhere in the world. ASSIGNOR will execute all documents deemed necessary by ASSIGNEE to confirm title in ASSIGNEE.

Said ASSIGNOR hereby authorizes and requests that U.S. Letters Patent issue to said ASSIGNEE, as the assignee of the entire right, title, and interest in and to the same, for the sole use and for the use of its successors, legal representatives, and assigns, to the full end of the term for which said Letter Patent may be granted, as fully and entirely as the same would have been held by said ASSIGNOR had this assignment and sale not been made.

Witness, the hand and seal of the ASSIGNOR on the date(s) indicated.

Date(s)

16-10-2000

Date

Signature(s)

rlifhout

FULBRIGHT & JAWORSKI L.L.P., 666 Fifth Avenue, New York, NY 10103

688179



VIA FIRST CLASS MAIL I hereby certify that this correspondence is being deposited with the United States Postal Services as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

FULBRICHT & JAWORSKI L.L.P.

Denise Squires

LUD 5466.4 - JEL/NDH (0980781 TECH CENTER 1600/2900

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICE OF PETITIONS

Applicant(s)

By.

Knuth, et al.

Serial No.

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Group Art Unit

1633

Examiner

Wilson, M.

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

CONSENT TO CHANGE IN INVENTORSHIP (37 CFR § 1.48(a)(4))

SIR:

The undersigned, having authority to act on behalf of Memorial Sloan Kettering Cancer Center, to whom coinventors Gerd Ritter and Matthew Scanlan have assigned the above referenced application, hereby agrees to the addition of Jan W. Drijfhout as a coinventor.

Respectfully submitted.

Date:

James S. Quirk

Title:

Senior Vice President Research Resources Management

679204.1

NOV 2 0 2000 S VILLE

VIA FIRST C: MAIL I hereby certify that this espondence is being deposited with the United States Postal Services as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

FULBRIGHT & JAWORSKI L.L.P.

Denise Squires

LUD 5466.4 - JEL/NDH (0980784WED

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Knuth, et al.

Serial No.

09/165,546

Filed

October 2, 1998

For

ISOLATED PEPTIDES CORRESPONDING TO

AMINO ACID SEQUENCES OF NY-ESO-1...

Group Art Unit

1633

Examiner

Wilson, M.

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

CONSENT TO CHANGE IN INVENTORSHIP (37 CFR § 1.48(a)(4))

SIR:

The undersigned, having authority to act on behalf of Ludwig Institute for Cancer Research, to whom coinventors Alexander Knuth, Elke Jager, Ali Gure and Lloyd J. Old have assigned the above referenced application, hereby agrees to the addition of Jan W. Drijfhout as a coinventor.

Respectfully submitted,

Date: September 19, 2000

Edward A. McDermott, Esq.

President

645746.1

I he by certify that this spondence is being deposited with the United States Postal Services as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

FULBRIGHT & JAWORSKI L.L.P.

By

Denise Squires

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LUD 5466.4 - JEL/NDH (09807811)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Knuth, et al.

Serial No.

09/165,546

Filed

October 2, 1998

For

ISOLATED PEPTIDES CORRESPONDING TO

AMINO ACID SEQUENCES OF NY-ESO-1...

Group Art Unit

1633

Examiner

Wilson, M.

Hon. Commissioner of Patents and Trademarks

Washington, D.C. 20231

CONSENT TO CHANGE IN INVENTORSHIP (37 CFR § 1.48(a)(4))

SIR:

The undersigned, having authority to act on behalf of Cornell Research Foundation, to whom coinventor Yao-Tseng Chen has assigned the above referenced application, hereby agrees to the addition of Jan W. Drijfhout as a coinventor.

Respectfully submitted,

Dated: September 11, 2000

Printed Name:

President and Director